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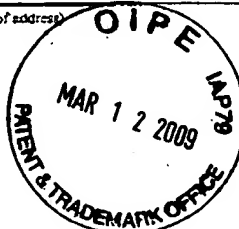
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02/12/2009

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03/13/2009 WABDEL3 00000017 09776379

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/776,379	02/02/2001	Thomas A. Rhee		8077

TITLE OF INVENTION: REAL LIFE IMPLEMENTATION OF MODERN PORTFOLIO THEORY (MPT) FOR FINANCIAL PLANNING AND PORTFOLIO MANAGEMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	05/12/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
PATEL, JAGDISH	3693	705-036000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

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Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

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☒ Publication Fee (No small entity discount permitted)

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5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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TO:	U.S. Patent & Trademark Office (USPTO)	FROM:	Prof. Thomas Rhee
FAX:	(571) 273-2885	PAGES:	3 including this cover page
PHONE:	(571) 272-6748	DATE:	3/12/2009
RE:	09/776,379	CC:	Jagdish Patel

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